



*Drw*

Docket No.: 050395-0219

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Naoki NISHIYAMA : Confirmation Number: 4807  
Application No.: 10/633,588 : Group Art Unit: 2878  
Filed: August 05, 2003 : Allowed: October 16, 2007  
Examiner: John R. Lee  
For: OPTICAL RECEIVER AND METHOD FOR MANUFACTURING THE SAME

**REQUEST FOR ACKNOWLEDGEMENT OF THE CITED ART**

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are in receipt of the Notice of Allowance dated October 16, 2007.

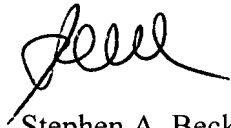
In reviewing the file, it is noted that we have not received **complete** acknowledgement of the Information Disclosure Statement and cited art filed April 18, 2007. Specifically, the **Japanese Office Action with English translation issued in corresponding Japanese Patent Application No. 2002-227443, dated January 12, 2007** listed under **"Other Art"** is not initialed by the Examiner. Enclosed are copies of the Information Disclosure Statement, PTO-1449 and stamped return postcard acknowledgement. Also enclosed please find a copy of the partially initialed PTO-1449 received with the Office Action dated July 13, 2007.

Applicant hereby respectfully requests that:

- (1) the **completely** acknowledged PTO-1449 be furnished to Applicant; and
- (2) the record be clarified to confirm that the cited art has been considered and made of record.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP



Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
Phone: 202.756.8000 SAB:kh  
Facsimile: 202.756.8087  
**Date: November 8, 2007**

**Please recognize our Customer No. 20277  
as our correspondence address.**



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Naoki NISHIYAMA	:	Confirmation Number: 4807
Application No.: 10/633,588	:	Group Art Unit: 2878
Filed: August 05, 2003	:	Examiner: John R. Lee

For: AN OPTICAL RECEIVER AND METHOD FOR MANUFACTURING THE SAME

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

In accordance with 37 CFR 1.17(p), please charge the fee of \$180.00 to Deposit Account No. 500417.

The references were cited in a corresponding Japanese Office Action and its relevance is discussed therein. A copy of the Japanese Office Action, together with an English language version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

*[Signature]* for

Stephen A. Becker  
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*List A - KILGARY  
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Phone: 202.756.8000 SAB:sd  
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**Date: April 18, 2007**

**Please recognize our Customer No. 20277  
as our correspondence address.**

Applicant: Naoki NISHIYAMA Docket No. 050395-0219 Serial No. 10/633,588

Title: AN OPTICAL RECEIVER AND METHOD FOR MANUFACTURING THE SAME Patent No. \_\_\_\_\_

Date Serf. 4/18/2007 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ 1st Class Mail ☐ Express Mail No. \_\_\_\_\_

☒ Transmittal Letter

New Patent App ☐ Utility ☐ Design ☒ Cont. ☐ Div. ☐ PCT ☐ RCE ☐ Prov

☐ Other: \_\_\_\_\_

pages of Specification \_\_\_\_\_

pages of Claims \_\_\_\_\_

pages of Abstract \_\_\_\_\_

pages of Formal/Informal Drawings \_\_\_\_\_

☐ Small Entity ☒ Large Entity

☐ Declaration/Power of Attorney

☐ Recordation of Assignment/Security Agreement

☒ Information Disclosure Statement

1 Form PTO 1449

5 References attached

☐ Preliminary Amendment

☐ Response to Missing Parts Notice

☐ Resp. to Notice to Correct App. Papers

☐ Certified Copy of Priority Doc.

☐ Claim for Convention Priority

☐ Response/Amendment to Office Action of \_\_\_\_\_

☐ Request for \_\_\_\_\_ month Extension of Time

☐ Letter submitting \_\_\_\_\_ pages of drawings

☐ Req. for Approval of Drawing Amendments

☐ Req. for Oral Hearing

☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ Rule 312 Amendment/Letter

☐ Req. for Acknowledgement of Cited Art

☐ Issue Fee

☐ Publication Fee

☐ Req. for Certificate of Correction

☐ Maintenance Fee for \_\_\_\_\_ years after grant

☐ Fee Address Indication Form \_\_\_\_\_

☐ Terminal Disclaimer

☐ Petition to Commissioner

☐ Status Inquiry

☒ Other Japanese Office Action

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APR 18 2007  
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Check for	\$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417	\$ 180.00	Atty Init.	SAB	Tkpr. #	4233	Secy. or PL:	SDastmalchi
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CMS Descrip.: 10-180

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.